

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : To Be Assigned Confirmation No. :  
First Named Inventor : Shigeki SAKAGUCHI, et al.  
Filed : Concurrently Herewith  
TC/A.U. : To Be Assigned  
Examiner : To Be Assigned

Docket No. : 038788.56262US  
Customer No. : 23911

Title : Optical Fiber Amplifier Module

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 AND 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding foreign Search Report citing such documents, together with an English-language version (if not already included) of that portion of the Search Report indicating the degree of relevance found by the foreign office.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing

date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, the paper should be considered as a petition for consideration of the Information Disclosure Statement under 37 C.F.R. § 1.97(d)(2) and that the petition fee set forth in 37 C.F.R. § 1.17(i) in accordance with 37 C.F.R. § 1.97(d)(3) should be charged to Deposit Account 05-1323, Attorney Docket No.: 038788.56262US.

Respectfully submitted,

May 2, 2005



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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(use as many sheets as necessary)</i> |                        |                | Application Number                           | To Be Assigned            |
|  |                        |                | Filing Date                                  | Concurrently Herewith     |
|  |                        |                | First Named Inventor                         | Shigeki SAKAGUCHI, et al. |
|  |                        |                | Art Unit                                     | To Be Assigned            |
|  |                        |                | Examiner Name                                | To Be Assigned            |
| Sheet 1 of 1   | Attorney Docket Number | 038788.56262US |  |                           |

| U.S. PATENT DOCUMENTS          |                       |  |  |                                |  |   |
|--------------------------------|-----------------------|--|--|--------------------------------|--|---|
| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Document Number                          |  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                                |                       | Number-Kind Code <sup>2</sup> (if known) |  |                                |  |   |
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| FOREIGN PATENT DOCUMENTS       |                       |   |                                |  |   |                |
|--------------------------------|-----------------------|---|--------------------------------|--|---|----------------|
| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                                |                       | Country Code <sup>3</sup> —Number <sup>4</sup> —Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                                |                       | WO 96/37019 A   | 11/21/1996                     | AMS Optotech Vertrieb GmbH                         |   |                |
|                                |                       | EP 651475 A   | 5/03/1995                      | Sumitomo Electric Industries, Ltd.                 |   |                |
|                                |                       | JP 10-190111 A  | 7/21/1998                      | Fujitsu Ltd.                                       |   |                |
|                                |                       | JP 9-55556 A  | 2/25/1997                      | Oki Electric Industry Co., Ltd.                    |   |                |
|                                |                       | JP 43316/1990   | 01/13/1992                     | Mitsubishi Cable Industries, Ltd.                  |   |                |
|                                |                       | WO 95/35590 A   | 12/28/1995                     | BT & D Technologies Ltd.                           |   |                |
|                                |                       | JP 2000-150988 A  | 5/30/2000                      | Mitsubishi Electric Corp.                          |   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
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|--------------------|--|-----------------|--|

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